

Model for Complaints Body as Revised - 700 complaints assumed and 70 Appeals							
	Model One	Model Two	Model Three	Model Four	Model Five		
	£	£	£	£	£		
One-off Costs:							
Set-up costs	100,000	50,000	50,000	100,000	0		
Redundancy Costs	Unknown						
Total one-off costs	100,000	50,000	50,000	100,000	0		
Annual costs:							
Initial Complaint:							
Staff and overhead cost	1,810,000	1,900,000	1,900,000	1,900,000	1,900,000		
Investigation and discipline committee	0	980,000	0	0	980,000		
Decision making body	1 864,500	0	910,000	910,000	0		
Appeal Process:-							
Staff and oversight cost	0	180,000	0	180,000	0		
Appeals committee	0	180,000	0	180,000	0		
Existing Review Procedure	0	0	0	0	40,000		
Other costs:							
Legal contingency	2 200,000	100,000	2 200,000	150,000	50,000		
Costs of court	3 350,000	10,000	3 350,000	10,000	10,000		
Total Annual Costs	3,224,500	3,350,000	3,360,000	3,330,000	2,980,000		
Average of models 1-4	3,316,125						

Notes

1. A cost for the decision making part of the process has been added based on models 3 and 4 less 5%.
2. Since the court acts as the appeals body there is likely to be greater need for legal advice. The contingency has therefore been doubled for models 1 and 3.
3. The other models assume 70 appeals (para.1.43 of the IA). Since the only appeal is through the courts it is assumed that the cost will deter 50% of IPs/complainants from appealing. 35 appeals at £10,000 per appeal.

Model for Complaints Body as Revised - 1000 complaints assumed and 100 Appeals							
	Model One	Model Two	Model Three	Model Four	Model Five		
					(No change on 700 model as increased complaints only arise if ICB introduced)		
	£	£	£	£	£		
One-off Costs:							
Set-up costs	100,000	50,000	50,000	100,000	0		
Redundancy Costs							
Total one-off costs	100,000	50,000	50,000	100,000	0		
Annual costs:							
Initial Complaint:							
Staff and overhead cost	2,578,300	2,714,000	2,714,000	2,714,000	1,900,000		
Investigation and discipline committee	0	1,400,000	0	0	980,000		
Decision making body	1 1,235,000	0	1,300,000	1,300,000	0		
Appeal Process:-							
Staff and oversight cost	0	257,000	0	257,000	0		
Appeals committee	0	257,000	0	257,000	0		
Existing Review Procedure	0	0	0	0	40,000		
Other costs:							
Legal contingency	2 285,000	143,000	2 285,000	215,000	50,000		
Costs of court	3 500,000	14,286	3 500,000	14,286	10,000		
Total Annual Costs	4,598,300	4,785,286	4,799,000	4,757,286	2,980,000		

Average of models 1-4	4,734,968
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Notes

1. A cost for the decision making part of the process has been added based on models 3 and 4 less 5%.
2. The contingency has been uplifted from scenario for 700 complaints to reflect increase to 1,000 complaints
3. The other models assume 70 appeals (para.1.43 of the IA). Since the only appeal is through the courts it is assumed that the cost will deter 50% of IPs/complainants from appealing. 50 appeals at £10,000 per appeal.

[illegible]

1. A cost for the decision making part of the process has been added based on models 3 and 4 less 5%.
2. Since there is no appeals process in model 3 the costs must be Enil.
3. Models 2 and 4 assume 17% of findings will be appealed (para.1.74 of the IA). Since the only appeal is through the courts it is assumed that the cost will deter 50% of IPs/complainants from appealing. 25 appeals at £10,000 per appeal.
4. Adverse costs estimated for 2 large cases lost on appeal
5. Adverse costs set at 60% of Model 1 given IP involvement in process
6. Since the court acts as the appeals body there is likely to be greater need for legal advice. The contingency has therefore been doubled for models 1 and 3.

Model for Fee Complaints Body Revised - Assuming 2000 complaints and 340 Appeals

		Model One	Model Two	Model Three	Model Four	Current model with RPB considering fees	Current Model (Do nothing) - all cases to court
One-off Costs:							
Set-up costs		0	0	0	0	0	0
Total one-off costs		0	0	0	0	0	0
Annual costs:							
Initial Complaint:							
Staff and overhead cost		770,000	810,000	810,000	810,000	810,000	0
Investigation and discipline committee		0	420,000	0	0	420,000	0
Decision making body	1	370,500	0	390,000	390,000	0	0
Appeal Process:-							
Staff and oversight cost		0	130,000	0	130,000	140,000	0
Appeals committee	2	0	130,000	0	130,000	130,000	0
300 cases		1,140,500	1,490,000	1,200,000	1,460,000	1,500,000	0
2000 cases		7,603,333	9,933,333	8,000,000	9,733,333	10,000,000	0
Costs of courts:-							
Large Scale Fee Cases		*	*	*	*	*	*
Small Scale Fee Cases	3	1,700,000	6 133,333	1,700,000	6 133,333	6 333,333	50,000
Other costs:-							
Legal contingency	6	2,000,000	6 1,000,000	6 2,000,000	6 1,333,333	6 1,000,000	0
Adverse Costs	4	3,333,333		5 2,000,000			0
Total Annual Costs		14,636,666	11,066,666	13,700,000	11,199,999	11,333,333	50,000
						Average of models 1-4	12,650,833

Notes

1. A cost for the decision making part of the process has been added based on models 3 and 4 less 5%.
2. Since there is no appeals process in model 3 the costs must be £nil.
3. Models 2 and 4 assume 17% of findings will be appealed (para.1.74 of the IA). Since the only appeal is through the courts it is assumed that the cost will deter 50% of IPs/complainants from appealing. 170 appeals at £10,000 per appeal. It is assumed that these are additional costs when compared with models 2 and 4.
4. Adverse costs estimated for 14 large cases lost on appeal, at £25K per case uplifted to reflect increase in fee complaints from 300 to 2000
5. Adverse costs set at 60% of Model 1 given IP involvement in process
6. Uplifted to reflect increase in fee complaints from 300 to 2000